Docket No.

281383US0X PC7

# MAIL STOP PCT 15 FEB 2006

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Shigeyuki KON, et al.

SERIAL NO:

10/557,602

GAU:

FILED:

November 22, 2005

EXAMINER:

FOR:

IMMUNOCOMPETENT CELL ACTIVATION INHIBITOR AND USE THEREOF

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

ŚIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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				APPLICANT TRADEMAN			
LIST OF REFERENCES CITED BY APPLICANT				Shigeyuki KON, et al.			
				FILING DATE	GROUP	GROUP	
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			FO	REIGN PATENT DOCUMENTS		·	
	DOCUMENT			COUNTRY TRANSLATION		LATION	
		NUMBER	DATE	COUNTRY	YES	NO	
	AA	02 081522	10/17/02	WO (with English abstract)		NO	
	AB	03 027151	04/03/03	WO (English abstract only)		NO	
	AC	00 63241	10/26/00	WO (English abstract only)		NO	
	AD	2003 294735	10/15/03	JP		NO	
	AE	2005 30852	02/03/05	JP		NO	
	AF	0 125 023	11/14/84	EP		NO	
	AG	0 239 400	09/30/87	EP		NO	
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		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	Pages. etc.)		
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SHEET 2 OF 2

ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 281383US0X (Modified) 10/557,602 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Shigeyuki KON, et al. **GROUP FILING DATE** November 22, 2005 OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) ZENG et al. "Activation of natural killer T cells in NZB/W mice induces Th1-type immune responses exacerbating lupus", The Journal of Clinical Investigation, vol. 112, no. 8, pages 1211-1222 AW 2003 OLIVE et al. "Therapeutic Applications of Anti-CD4 Antibodies", Critical Reviews in Therapeutic Drug Carrier Systems, vol. 10, no. 1, pages 29-63 1993 SINGH et al. "Natural Killer T Cell Activation Protects Mice Against Experimental Autoimmune Encephalomyelitis", J. Exp. Med., vol. 194, no. 12, pages 1801-1811 MAATTA et al. "Neutrophils secreting tumor necrosis factor alpha infiltrate the central nervous system of BALB/c mice with experimental autoimmune encephalomyelitis", Journal of Neuroimmunology, vol. 90, pages 162-175 MILICI et al. "Early Eosinophil Infiltration into the Optic Nerve of Mice with Experimental Allergic Encephalomyelitis", Laboratory Investigation, vol. 78, no. 10, pages 1239-1244 TUPIN et al. "CD1d-dependent Activation of NKT Cells Aggravates Atherosclerosis", J. Exp. Med., vol. 199, no. 3, pages 417-422 2004 KEANE et al. "Neutralization of the CXC Chemokine, Macrophage Inflammatory Protein-2, Attenuates Bleomycin-Induced Pulmonary Fibrosis", Journal of Immunology, vol. 162, pages 5511-5518 HU et al. "Hapten-immune pulmonary interstitial fibrosis (HIPIF) in mice requires both CD4 and CD8 T lymphocytes", Journal of Leukocyte Biology, vol. 54, pages 414-422 AAD ERLANGER et al. "Steroid-Protein Conjugates", J. Biol. Chem., vol. 234, no. 5, pages 1090-1094 1959 AAE KARU et al. "Synthesis of Haptens and Derivation of Monoclonal Antibodies for Immunoassay of the Phenylurea Herbicide Diuron", J. Agric. Food Chem., vol. 42, pages 301-309 AAF HONG et al. "Opposing roles of STAT1 and STAT3 in T cell-mediated hepatitis: regulation by SOCS", Journal of Clinical Investigation, vol. 110, no. 10, pages 1503-1513 AAG 2002 XIAO et al. "Possible participation of macrophage inflammatory protein 2 in neutrophil infiltration in allergic inflammation in rats", Biochimica et Biophysica Acta, vol. 1361, pages 138-146 KINEBUCHI et al. "A Novel Cell Surface Antigen Involved in Thymocyte and Thymic Epithelial Cell Adhesion", Journal of Immunology, vol. 146, no. 11, pages 3721-3726 1991 KON et al. "Antibodies to Different Peptides in Osteopontin Reveal Complexities in the Various Secreted Forms", Journal of Cellular Biochemistry, vol. 77, pages 487-498 2000 AAK AAL AAM Date Considered Examiner \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PCT Application Serial No: 10/557,602

Filed: November 22, 2005

Shigeyuki KON, et al.

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# STATEMENT OF RELEVANCY

- 1) References AA-AC, AI-AK have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AD-AH, AL-AAJ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.